

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Beckman Coulter DXH900 V1.X & DXH690 T_AI

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

09/26/23

CyberLOG

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

<input type="checkbox"/> From members of the general public	<input type="checkbox"/> From Federal employees
<input checked="" type="checkbox"/> from both members of the general public and Federal employees	<input type="checkbox"/> Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

<input checked="" type="checkbox"/> New DoD Information System	<input type="checkbox"/> New Electronic Collection
<input type="checkbox"/> Existing DoD Information System	<input type="checkbox"/> Existing Electronic Collection
<input type="checkbox"/> Significantly Modified DoD Information System	

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Beckman Coulter DXH900 V1.X & DXH690 T_AI is Unicel DxH 900 Series with System Manager Software Coulter Cellular Analysis System with Early Sepsis Indicator (ESId) application and is the quantitative measurement of Monocyte Distribution Width (MDW). The ESId is intended for use with adult patients presenting to the emergency department, on whom a white blood cell differential test has been ordered. MDW is tested from a dipotassium ethylenediaminetetraacetic acid (K2EDTA) whole-blood venous sample within two hours of collection. MDW results greater than 20.0 together with other laboratory findings and clinical information, aids in identifying patients with sepsis or at increased risk of developing sepsis within the first 12 hours of hospital admission. Baseline Location is: Beckman Coulter Laboratory in Brea, California.

Personally Identifiable Information (PII) collected are personnel identifiers and medical information to include Protected Health Information (PHI). The following categories of individuals in which PII is collected from includes Department of Defense (DoD) Health Care Beneficiaries such as Military Members of the Armed Forces, Military Retirees, and their family members; DoD Civilian Employees; Foreign Nationals; Members of the United States Coast Guard; Public Health Service; Cadets and Midshipmen of the Military Academies; and other categories of individuals who receive medical treatment at DoD's Treatment Facilities/Activities.

Cyber Logistics (CyberLOG) is responsible for the Risk Management Framework (RMF) process and gaining an approval from Defense Health Agency's Joint 6 Risk Management Executive Division (DHA's J6 RMED). Local sites are responsible for day-to-day operations, maintenance, and management of the device. Sites are responsible for ensuring the device is configured to meet CyberLOG and RMED approval configurations. Beckman Coulter DXH900 V1.X & DXH690T_AI is owned by DHA's CyberLOG and operated by various Military Treatment Facilities (MTFs) as needed.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PII is collected for identification purposes to match individuals with their medical records and to ensure accuracy when those records are integrated with other records for the same individual. The PII collected will be used for mission-related purposes that support the delivery of health care services.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

- (1) If "Yes," describe the method by which individuals can object to the collection of PII.
- (2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals do not have the opportunity to object to the collection of their PII because Beckman Coulter DXH900 V1.X & DXH690T_AI is not the initial point of collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals do not have the opportunity to consent to the specific use of their PII because Beckman Coulter DXH900 V1.X & DXH690T_AI is not the initial point of collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

Beckman Coulter DXH900 does not collect PII directly from individuals. Therefore, no Privacy Act Statement or Privacy Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

<input checked="" type="checkbox"/> Within the DoD Component	Specify. <input type="text" value="Defense Health Agencies (DHA) Military Treatment Facilities (MTF)."/>
<input checked="" type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force)	Specify. <input type="text" value="Navy and Air Force MTFs"/>
<input checked="" type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)	Specify. <input type="text" value="U.S. Coast Guard, Veterans Administration, Public Health Service, Center for Disease Control."/>
<input type="checkbox"/> State and Local Agencies	Specify. <input type="text"/>
 Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)	Specify. <input type="text" value="The MTF may utilize contractor services to support this product. DoD policy requires such contracts include language to safeguard PII including FAR clauses: 52.224-1, Privacy Act Notification; 52.224-2, Privacy Act; and FAR 39.105, Privacy. When the contractor has access to PHI, a HIPAA Business Associate Agreement is also required."/>
<input type="checkbox"/> Other (e.g., commercial providers, colleges).	Specify. <input type="text"/>

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals Databases
 Existing DoD Information Systems Commercial Systems
 Other Federal Information Systems

Picture Archiving and Communication System (PACS)

Health Level Seven (HL7)

Digital Imaging and Communications in Medicine (DICOM)_Capable Systems

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail Official Form (Enter Form Number(s) in the box below)
 In-Person Contact Paper
 Fax Telephone Interview
 Information Sharing - System to System Website/E-Form
 Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.dod.mil/> Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

GRS 5.2, item 020 (DAA-GRS-2017-0003-0002)

(2) If pending, provide the date the SF-115 was submitted to NARA.

[Redacted]

(3) Retention Instructions.

FILE NUMBER: 103-14

DISPOSITION: Temporary. Delete no more than 7 years from the date last modified. (See DoD DTM 22-001 on default disposition policies and OSD Records Manager guidance which file number to associate).

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Public Law 104-191, Health Insurance Portability and Accountability Act of 1996; 10 U.S.C., Chapter 55, Medical and Dental Care; 10 U.S.C. 1097a, TRICARE Prime: Automatic Enrollments; Payment Options; 10 U.S.C. 1097b, TRICARE Prime and TRICARE Program: Financial Management; 10 U.S.C. 1079, Contracts for Medical Care for Spouses and Children: Plans; 10 U.S.C. 1079a, TRICARE Program: Treatment of Refunds and Other Amounts Collected Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); 10 U.S.C. 1086, Contracts for Health Benefits for Certain Members, Former Members, and Their Dependents; 10 U.S.C. 1095, Health Care Services Incurred on behalf of Covered Beneficiaries: Collection From Third-party Payers; 42 U.S.C. 290dd, Substance Abuse Among Government and Other Employees; 42 U.S.C. 290dd-2, Confidentiality Of Records; 42 U.S.C. Ch. 117, Sections 11131-11152, Reporting of Information; 45 CFR 164, Security and Privacy; Department of Defense (DoD) Instruction 6015.23, Foreign Military Personnel Care and Uniform Business Offices in Military Treatment Facilities (MTFS); DoD Manual 6025.18, Implementation of the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule in DoD Health Care Programs; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

This system does not require OMB approval since it is not collecting information directly from members of the public.