## PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:			
Limited Duty Sailor and Marine Readiness Tracker Military Health	Systen	n (MHS) Application Access Gat	eways (LIMDU SMART MAAG
2. DOD COMPONENT NAME:			3. PIA APPROVAL DATE:
Defense Health Agency			08/26/24
Sub-Component: Bureau of Medicine and Surgery			
SECTION 1: PII DESCRIPTION	SUMMA	ARY (FOR PUBLIC RELEASE)	
a. The PII is: (Check one. Note: Federal contractors, military family member	rs, and fo	oreign nationals are included in gener	al public.)
From members of the general public	X	From Federal employees	
from both members of the general public and Federal employees		Not Collected (if checked proceed	to Section 4)
b. The PII is in a: (Check one.)			
New DoD Information System		New Electronic Collection	
X Existing DoD Information System		Existing Electronic Collection	
Significantly Modified DoD Information System			
c. Describe the purpose of this DoD information system or electronic collected in the system.	collectio	on and describe the types of persor	nal information about individuals
MAAG) provides Navy and Marine Corps with real-time visibility on all aspects of Limited Duty Program, and electronically manage LIMDU supports overall readiness status. MTF staff with Conveni SMART MAAG's analytical reports and dashboards to depict care LIMDU SMART MAAG's data "at-a-glance" feature effectively presafeguarding service member's Personal Identification Information SMART MAAG modernizes the legacy paper-based LIMDU progreservice member's care via a centralized, web-based application.  PII collected about active duty service members will include: Nam telephone number, personal email address, employment, medical and LIMDU SMART MAAG is owned and operated by Department of management office under the Deputy Assistant Director Information	processing Authorized Managerovides (PII) the ram, process (PII) the ram, process (PII) the ram, process (PII) the ram, process (PIII) the ram, process (PIIII) the ram, process (PIIII) the ram, process (PIIII) the ram, process (PIIIII) the ram, process (PIIIIIIIII) the ram, process (PIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	s workflows. Full visibility of all nority can compile key data element.  visibility of all Sailors' and Mariarough role-based access and reactiviting force strength clarity and date of birth, gender, race/ethnicolity information.  displaying the description of the strength clarity and strength clarity and strength clarity and strength clarity information.	Sailors and Marines assigned to ents by utilizing LIMDU  enes' limited duty status while d/write audit trails. LIMDU comprehensive insight into  eity, home address, home  y, Medical Readiness program  Delivery Division.
d. Why is the PII collected and/or what is the intended use of the PII? administrative use)	(e.g., ver	rification, identification, authentication	, data matching, mission-related use,
Primary collection; User registration – verification, identification,	authenti	ication.	
To determine individual and unit medical readiness – mission relat	ed.		
Chapter 18 of the NAVMED P-117 (Manual of Medicine) outlines (MEB) and Medical Evaluation Board Reports (MEBR) for Navy a medical reasons; to include LIMDU. This process directly effects	and Mar	rine Corps service members who	
Fitness for duty – mission related.			
The collected data is used to evaluate the fitness of service membe medical reasons are evaluated by medical personnel. The informat		•	•

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case in accordance with Navy's Limited Duty Program policy.
e. Do individuals have the opportunity to object to the collection of their PII?
(1) If "Yes," describe the method by which individuals can object to the collection of PII.
(2) If "No," state the reason why individuals cannot object to the collection of PII.
Individuals may decline to provide their information, however NAVMED P-117 (Manual of Medicine) requires an evaluation for continued service for all members removed from duty for medical reasons.
f. Do individuals have the opportunity to consent to the specific uses of their PII? X Yes No
(1) If "Yes," describe the method by which individuals can give or withhold their consent.
(2) If "No," state the reason why individuals cannot give or withhold their consent.
Individuals may provide their consent for the specific use of their information by providing the information after receiving a copy of the Privacy Act Statement.
g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)
▼ Privacy Act Statement       Privacy Advisory       Not Applicable
A pre-printed department of Defense (DD) Form 2005, "Privacy Act Statement – Health Care Records" is provided to the patient at the point of care for review and signature and it is place in the patient's medical record.
All members who have PII entered in LIMDU SMART MAAG are interviewed by Medical Board Office staff members prior to and during the data collection process. The process of the collection and evaluation of the data is verbally explained to each member. The privacy of the information is detailed to the member and the member's signature is required prior to the data being forwarded to the evaluation board.
Privacy Act Statement
This statement serves to inform you of the purpose for collecting personal information required by LIMDU SMART MAAG and how it will be used.
AUTHORITY: 10 U.S.C.55 Medical and Dental Care; 10 U.S.C. 1095, Health Care Services Incurred on Behalf of Covered Beneficiaries: Collection from Third Party Payers Act; 44 U.S.C. 3101, Records management by agency heads; 5 CFR 293.502, Subpart E, Employee Medical File System Records; DoD 6025.18-R Health Information Privacy Regulation; and E.O. 9397 (SSN), as amended.
PURPOSE: To collect information from you to be used for real-time visibility of all personnel on limited duty status, run reports on all aspects of the LIMDU Program, and electronically manage process workflows.
ROUTINE USES: Information in your records may be disclosed to private physicians and Federal agencies, including the Department of Veterans Affairs, Health Services, and Homeland Security in connection with your medical care; other government agencies to determine your eligibility for benefits and entitlements; and government and nongovernment third parties to recover to cost of healthcare provided to you by the Military Health System. Use and disclosure of your records outside of DoD may also occur in accordance with the Privacy Act of 1974, as amended (5 U.S.C. 552a(b)).
Any protected health information (PII) in your records may be used and disclosed generally as permitted by the HIPPA Privacy Rule (45 CFR Parts 160 and 164), as implemented within DoD. Permitted uses and discloses of PHI include, but are not limited to, treatment, payment and healthcare operations.
For a full listing of applicable Routine Uses, refer to the applicable SORN.
APPLICABLE SORN: N06150-2, Health Care Record System (June 16, 2003, 68 FR 35657), is the applicable SORN for this system.
DISCLOSURE: Voluntary. If you choose not to provide your information, no penalty may be imposed, but absence of the requested information may result in administrative delays.

	/ith whom will the PII be shared through data/system exchange, b Check all that apply)	oth within you	ur DoD Component and outside your Component?		
( )	mook an trac appry)		PII is shared with authorized users at Defense Health		
X	Within the DoD Component	Specify.	Agency (DHA) and clinicians and Patient Administration staff at DHA Medical Treatment Facilities (MTFs).		
			PII is shared with authorized users at the Bureau of Navy		
			Medicine and Surgery (BUMED). BUMED users are only		
			authorized to view LIMDU SMART MAAG for their		
			specific regional commands. PII is also shared with Navy		
X	Other DoD Components (i.e. Army, Navy, Air Force)	Specify.	and Marine Corps personnel headquarters' authorized users,		
			Personnel Support Detachment (PSD), Command		
			Deployability, and LIMDU Coordinator staff. No medical		
			information will be shared with Headquarters, PSD, Command Deployability, and LIMDU Coordinator staff.		
			Information may be disclosed to authorized users Federal		
			agencies, including the Department of Veterans Affairs, the		
X	Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)	Specify.	Department of Health and Human Services and the		
			Department of Homeland Security.		
	State and Local Agencies	Specify.			
			Universal Consulting Agency (UCS) provides system		
			maintenance support LIMDU SMART MAAG and has		
			access to the PII therein. Contract N00189-15-P-Z464		
			contains the following language that safeguards PII:		
			The Contractor shall establish required administrative,		
			technical and physical safeguards to protect any, and all		
			Government data, including PII/PHI. The Contractor shall		
			also ensure the confidentiality, integrity, and availability of		
			data in compliance with all applicable laws and regulations, including data breach reporting/responses requirements.		
	Contractor (Name of contractor and describe the language in		The Contractor shall comply with federal laws relating to		
X	the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2,	Specify.	FOIA and records management and analyze breaches of PII/		
	Privacy Act, and FAR 39.105 are included in the contract.)		PHI for which it is responsible under both the Privacy Act		
			and HIPAA. 9.1.11. UCS will research request for MHS		
			data that include PHI. These requests must be reviewed for HIPAA compliance by the DHA Privacy Board.		
			Additionally, UCS has a Data Sharing Agreement (DSA) in		
			place (DSA#19-2072), per DHA regulations. The DSA		
			controls the disclosure, use, storage and/or destruction of		
			MHS data that is managed by DHA to ensure compliance		
			with privacy and security requirements applicable to PII and		
			PHI.		
	Other (e.g., commercial providers, colleges).	Specify.			
i. Sc	ource of the PII collected is: (Check all that apply and list all informat	ion systems if a	applicable)		
X	Individuals	□ D	Databases		
	Existing DoD Information Systems	□ C	Commercial Systems		
	Other Federal Information Systems				
j. Ho	w will the information be collected? (Check all that apply and list a.	II Official Form	Numbers if applicable)		
	E-mail		ifficial Form (Enter Form Number(s) in the box below)		
X	In-Person Contact	_	Paper		
	Fax	T	elephone Interview		

Ш	Information Sharing - System to System  Website/E-Form
×	Other (If Other, enter the information in the box below)
The	applicant submits information in person using a web form within https://edq.med.navy.mil/.
k. [	oes this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?
is <u>re</u>	ivacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that trieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.    X   Yes     No   No
If "Y	es," enter SORN System Identifier N06150-2 and EDHA-07
	RN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/acy/SORNs/ or
	SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency sion (DPCLTD). Consult the DoD Component Privacy Office for this date
If "	No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.
	nat is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority the system or for the records maintained in the system?
('	) NARA Job Number or General Records Schedule Authority.  Unscheduled.
(2	) If pending, provide the date the SF-115 was submitted to NARA.
(	8) Retention Instructions.
	cheduled. Retain as permanent. LIMDU SMART MAAG Project Team coordinating with BUMED Records Management Team to rmine retention instructions.
	What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.
	(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar. (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
	(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
	(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
	(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.
10 U Thii	N Authorities:  J.S.C. 55 Medical and Dental Care; 10 U.S.C. 1095, Health Care Services Incurred on Behalf of Covered Beneficiaries: Collection from d Party Payers Act; 44 U.S.C. 3101, Records management by agency heads; 5 CFR 293.502, Subpart E, Employee Medical File System ords; and E.O. 9397 (SSN), as amended.
n. D	oes this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control umber?
	ontact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to ollect data from 10 or more members of the public in a 12-month period regardless of form or format.
	Yes X No Pending

<ul> <li>(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.</li> <li>(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."</li> <li>(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.</li> </ul>
LIMDU SMART MAAG does not collect information from the public; OMB Control Number is not applicable.