## **PRIVACY IMPACT ASSESSMENT (PIA)**

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

system.				
1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME: Automated Neuropsychological Assessment Metrics Data Repositor	v (AN.	AM DR)		
2. DOD COMPONENT NAME:	(1111)		3. PIA APPROVAL DATE:	
Defense Health Agency			08/26/24	
SECTION 1: PII DESCRIPTION S	SHIMMA	ADV (EOD DIRLIC DELEASE)		
a. The PII is: (Check one. Note: Federal contractors, military family members			l public.)	
From members of the general public	X	From Federal employees		
from both members of the general public and Federal employees		Not Collected (if checked proceed to	Section 4)	
b. The PII is in a: (Check one.)				
New DoD Information System		New Electronic Collection		
Existing DoD Information System	X	Existing Electronic Collection		
Significantly Modified DoD Information System				
process the Automated Neurocognitive Assessment Metrics (ANAM test of neurocognitive functioning to assess the neurocognitive performance performance modules are components. The baseline ANAM assessment scores allow providers cases. The ANAM assessment scores are also used to identify person a TBI, the ANAM assessment scores also allow providers to assess the ANAM assessment scores are also used to identify person a TBI, the ANAM assessment scores also allow providers to assess the ANAM assessment scores also allow providers to assess the ANAM assessment of ANAM assessment data in the ANAM DR located organizations through approved data use agreements.	to conneasurs to connel whee sev	duct neurocognitive assessments as e speed, simple decision-making, mpare repeat ANAM test scores in hose performance shows impairmently of the TBI and track their rectains the program was initiated in	and manage Traumatic Brain and various memory a suspected or confirmed TBI ent. When personnel experiences covery. More than 3 million a June 2007. This PIA addresses	
The categories of individuals with Personal Identifiable Information (PII) in this ANAM Data Repository are Military personnel and DoD Civilians from all Military Departments. In addition, PII is shared with authorized Department of Veterans Affairs (VA) medical providers after the Service Member is discharged.				
The PII collected includes contact information, military records, demographic information, education information, and medical information, including Protected Health Information (PHI). The PHI collected is generated through self-report surveys, and cognitive performance scores that are all included within the ANAM DR.				
The ANAM DR is owned and managed by the Neurocognitive Asset U.S. Army Office of the Surgeon General (OTSG).	ssment	Branch (NCAB) within the Soldi	er Performance Division (SPD),	
d. Why is the PII collected and/or what is the intended use of the PII? (6 administrative use)	e.g., vei	rification, identification, authentication,	data matching, mission-related use,	
The PII is collected for verification, identification, and authentication purposes to match individuals with their records and ensure the accuracy of the records when they are integrated with related records for the same individual. The intended use of the collected PII is for mission-related purposes to support diagnostics, health care services, and research activities.				
e. Do individuals have the opportunity to object to the collection of their PII?				
(1) If "Yes," describe the method by which individuals can object to the colle	ction of	PII.		

(2) If "No," state the reason why individuals cannot object to the collection of	f PII.			
of their assessment results in accordance with the Privacy Act of 19 of 1996. They also must review the Privacy Act Statement described	uries to the brain prior to assessment, the ANAM tool and the protection 74 and the Health Insurance Portability and Accountability Act (HIPAA) d in Section 1g below. Although the disclosure of PII is voluntary, failure 190.13, Comprehensive Policy on Neurocognitive Assessments by the			
f. Do individuals have the opportunity to consent to the specific uses o	f their PII? X Yes No			
(1) If "Yes," describe the method by which individuals can give or withhold t	heir consent.			
(2) If "No," state the reason why individuals cannot give or withhold their co	nsent.			
assessment results in accordance with the Privacy Act of 1974 and t 1996. They also must review the Privacy Act Statement described in the consent to the specific uses of their PII is voluntary, failure to co Comprehensive Policy on Neurocognitive Assessments by the Milit throughout the deployment cycle.	uries to the brain prior to assessment, the ANAM tool, protection of their the Health Insurance Portability and Accountability Act (HIPAA) of a Section 1g below which outlines the specific uses of their PII. Although onsent to the specific uses of PII, violates provisions in DoDI 6490.13, eary Services, which mandates completion of a neurocognitive assessment			
g. When an individual is asked to provide PII, a Privacy Act Statement ( provide the actual wording.)	(PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and			
Privacy Act Statement Privacy Advisory	Not Applicable			
ANAM Privacy Act Statement:				
This statement serves to inform you of the purpose for collecting pe Assessment Metrics (ANAM) and how it will be used.	rsonal information required by the Automated Neuropsychological			
AUTHORITY: 5 U.S.C. 301, Departmental Regulations; 10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C. 7013, Secretary of the Army; DoDI 1322.24, Medical Readiness Training; DoDI 6490.13, Comprehensive Policy on Neurocognitive Assessments by the Military Services and E.O. 9397 (SSN), as amended.				
PURPOSE: Information is collected from individuals to verify the idefederal and Department mandated assessment and training.	dentity of eligible users of the ANAM and to track the completion of			
ROUTINE USES: In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act of 1974, as amended, these records may specifically be disclosed outside the Department of Defense as follows: to the Department of Veterans Affairs to adjudicate claims and provide medical care; to the National Research Council and similar institutions for authorized health research; to local and State government and agencies to comply with local laws and regulations governing certain public health and welfare programs; to certain third party payers as per 10 U.S.C. 1095, as amended, and relevant DoD guidance; to certain former DoD health care providers in response to a malpractice claim or litigation; and the DoD "Blanket Routine Uses" set forth at the beginning of the Office Of Secretary of Defense compilation of systems of records notices also apply to this system. Any protected health information (PHI) may be used and disclosed generally as permitted by the HIPAA Rules, as implemented within DoD. Permitted uses and disclosures of PHI include, but are not limited to, treatment, payment, and healthcare operations.				
DISCLOSURE: Voluntary. If you choose not to provide the requested information, there may be an administrative delay; however, care will not be denied and no penalties will be imposed.				
	nents/Privacy/SORNs/DHA/EDHA-07.pdf DHA SORN EDHA 07,			
h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)				
Within the DoD Component	Specify. U.S. Army Organizations			
T Other DoD Components (i.e. Army, Navy, Air Force)	Specify. DoD Medical Treatment Facilities U.S. Air Force, Navy, and the Marine Corps organizations			
Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)	Specify. Department of Veterans Affairs Healthcare System			
State and Local Agencies	Specify.			

Contractor (Name of contractor and describe me language in the contract that saregulars PH. Include whether FAR privacy clauses 1.e. 9.2284-1. Privacy Act. and FAR 39 1/35 are included in the contract.)  **Contractor (Name of contractor and describe me language in the contract that saregulars PH. Include whether FAR privacy clauses 1.e. 9.2284-1. Privacy Act. soft Restaurance of the saregulars PH. Include whether FAR privacy clauses 1.e. 9.2284-1. Privacy Act. soft Restaurance of the saregular soft included in the contract.)  **Specify.**  **Contractor (Name of contractor and describe me language in the contract that saregulars PH. Include whether FAR privacy clauses 1.e. 9.2284-1. Privacy Act. soft Restaurance of the saregular soft included in the contract.)  **Specify.**  **Contractor (Name of contractor) and describe me language in the contract that saregulars PH. Include whether FAR privacy clauses 1.e. 9.2284-1. Privacy Act. soft Restaurance of the Privacy Act. and FAR 39 1/35 are included in the contract.)  **Specify.**  **Included that saregularis PH. Include whether FAR privacy clauses 1.e. 9.2284-1. Privacy Act. soft Restaurance of the proper management and administration of the proper soft soft and soft soft soft soft soft soft soft soft						
- The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes; in accordance with specific use and disclosure provisions below, if such use or disclosure of Protected Health Information behalf and the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information below that services the integrates in the contract Health and the provisions below, if such use or disclosure of Protected Health Information will be the contract that the INPA Privacy Rule, DoD 6023,15-R or DoD 8580,02-R if done by the Government.  Specify  Contract, Provided that disclosure will remain confidential and used, or further disclosed only as relational to the present and universitient of the Contract or day instances of which it is aware in which the confidentiality of the purpose for which it was disclosed on the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the person and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the person and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the contractor of any instances of which it is aware in which the confidentiality of the person of the person of a which it is aware in which the confidentiality of the person of th	If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.					
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- The contractor may use or disclose Protected Health Information on bothlif, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information would not violate the HIPAA Privacy Act, and FAR 39 105 are included in the contract.)  Specify.  Privacy Act, and FAR 39 105 are included in the contract.)  Specify.  Privacy Act, and FAR 39 105 are included in the contract.)  Specify.  Individuals with the contractor of any instances of which it is aware in which the contractor of any instances of which it is aware in which the confraction and sequently and appropriately protect the confidentiality of the information has been breached.  The contractor agrees to use administrative, physical, and technical safeguants that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic protected health information and technical safeguants that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic protected health information systems   Specify.  Specify.  Specify.  Individuals   Databases   Commercial providers, colleges)   Specify.  Individuals   Databases   Commercial Systems   Databases   Commercial Systems   Other Federal Information Systems   Other Federal Information Systems   Paper   Pap						
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- The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information would not violate the HIPAA Privacy Rule, DoD 6025.18-R or DoD 8580.02-R if done by the Government.  - The contract for may disclose Protected Health Information for the proper management and administration of the Contract, provided that disclosure will remain confidential used, or further disclosed only as required by law, for the purpose for which it was disclosed to the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the information has been breached.  - The contractor agrees to use administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic protected health information that it creates, receives, maintains, or transmits in the execution of this Contract.  - This contract contains the required FAR privacy clauses.  Specify  I. Source of the Pli collected is: (Check all that apply and list all information systems if applicable)						
- The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information would not violate the HIPAA Privacy Rule, DoD 6025.18-R or DoD 8580.02-R if done by the Government.  - The contractor may disclose Protected Health Information for the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)  Specify.  Specify.  Other (e.g., commercial providers, colleges).  Specify.  Specify.  Other (e.g., commercial providers, colleges).  Specify.			oyolellis			
Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act, and FAR 39.105 are included in the contract.)  Specify.  Privacy Act, and FAR 39.105 are included in the contract.)  The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information would not violate the HIPAA Privacy Rule, DoD 6025.18-R or DoD 8580.02-R if done by the Government.  - The contractor may disclose Protected Health Information for the proper management and administration of the Contract, provided that disclosure will remain confidential and used, or further disclosed only as required by law, for the purpose for which it was disclosed to the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the information has been breached.  - The contractor agrees to use administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic protected health information that it creates, receives, maintains, or transmits in the execution of this Contract.  - This contract contains the required FAR privacy clauses.	 ; e₁		. ,			
- The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information would not violate the HIPAA Privacy Rule, DoD 6025.18-R or DoD 8580.02-R if done by the Government.  - The contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act, Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)  Specify.  Specify.  - The contractor may use or disclosure to to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and disclosure provisions below, if such use or disclosure of Protected Health Information would not violate the HIPAA Privacy Rule, DoD 6025.18-R or DoD 8580.02-R if done by the Government.  - The contractor may disclose Protected Health Information for the proper management and administration of the Contract, provided that disclosure will remain confidential and used, or further disclosed only as required by law, for the purpose for which it was disclosed to the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the information has been breached.  - The contractor agrees to use administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the				receives, maintains, or transmits in the execution of this Contract.  - This contract contains the required FAR privacy clauses.		
- The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and	×	the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2,	Specify	Protected Health Information would not violate the HIPAA Privacy Rule, DoD 6025.18-R or DoD 8580.02-R if done by the Government.  - The contractor may disclose Protected Health Information for the proper management and administration of the Contract, provided that disclosure will remain confidential and used, or further disclosed only as required by law, for the purpose for which it was disclosed to the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the information has been breached.  - The contractor agrees to use administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the electronic protected health information that it creates,		
				- The contractor may use or disclose Protected Health Information on behalf, or to provide services to, the Government for treatment, payment, or healthcare operations purposes, in accordance with the specific use and		

I. What is the National Archives and Records Administration (NARA) for the system or for the records maintained in the system?	approved, pending or general records schedule (GRS) disposition authority				
(1) NARA Job Number or General Records Schedule Authority.	Unscheduled				
(2) If pending, provide the date the SF-115 was submitted to NARA.					
(3) Retention Instructions.					
Unscheduled - Permanent. Treat system and/or records maintain disposition authority has been applied.	ned in the system as permanent until a NARA approved schedule and				
m. What is the authority to collect information? A Federal law or E	executive Order must authorize the collection and maintenance of a system of rds, the collection or maintenance of the PII must be necessary to discharge the				
<ul><li>(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.</li><li>(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).</li></ul>					
(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.					
(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.					
(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.					
Financial Management; 10 U.S.C. 1079, Contracts for Medical C Treatment of Refunds and Other Amounts Collected Civilian He U.S.C. 1086, Contracts for Health Benefits for Certain Members, Services Incurred on behalf of Covered Beneficiaries: Collection Government and Other Employees; 42 U.S.C. 290dd-2, Confider Reporting of Information; 45 CFR 164, Security and Privacy; De Care and Uniform Business Offices in Military Treatment Facilit and E.O. 9397 (SSN).	ent Options; 10 U.S.C. 1097b, TRICARE Prime and TRICARE Program: Care for Spouses and Children: Plans; 10 U.S.C. 1079a, TRICARE Program: ealth and Medical Program of the Uniformed Services (CHAMPUS); 10 s, Former Members, and Their Dependents; 10 U.S.C. 1095, Health Care in From Third-party Payers; 42 U.S.C. 290dd, Substance Abuse Among intiality Of Records; 42 U.S.C 42 U.S.C. Ch. 117, Sections 11131-11152, epartment of Defense (DoD) Instruction 6015.23, Foreign Military Personnel ties (MTFS); DoD 6025.18-R, DoD Health Information Privacy Regulation;				
n. Does this DoD information system or electronic collection have a Number?	an active and approved Office of Management and Budget (OMB) Control				
Contact the Component Information Management Control Officer or Do collect data from 10 or more members of the public in a 12-month period	oD Clearance Officer for this information. This number indicates OMB approval to iod regardless of form or format.				
Yes X No Pending					
<ul> <li>(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.</li> <li>(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."</li> <li>(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.</li> </ul>					
The information in this system is not collected from members of	The public; therefore, OMB approval for this collection is not required.				

DD FORM 2930, JUN 2017