

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Naval Hospital Rota_MEDCOI (MEDCOI_ROTATA)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

08/05/24

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- | | |
|---|--|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees |
| <input checked="" type="checkbox"/> from both members of the general public and Federal employees | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one.)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Naval Hospital Rota_MEDCOI consists of hardware, software, and telecommunications systems. The enclave includes user interfaces such as workstations and laptops and hosts office automation as well as medical systems and applications.

Personally Identifiable Information (PII) may be collected, maintained, processed, or disseminated by systems and applications within the enclave or documents stored on file servers and shared drives. PII includes employee and beneficiary contact information, military information, demographic information, Social Security Number (SSN), and Protected Health Information (PHI). Commercial-Off-The-Shelf (COTS) applications such as Microsoft Word Excel, PowerPoint, and Outlook are utilized to provide administrative support to the enclave and may process PII.

PII processed on some system components, applications, and documents utilize specific administrative, physical, and technical security controls to protect PII confidentiality and which may be addressed in separate Privacy Impact Assessments (PIA)s. Such PIAs are available at: <https://dodcio.defense.gov/In-the-News/Privacy-Impact-Assessments/>.

The Naval Hospital Rota_MEDCOI includes the following system components, applications, and/or electronic collections:

Program name; Acronym; eMASS ID #
AGFA Healthcare Enterprise Imaging 8; AGFAEI8; 2624
Armed Forces Health Longitudinal Technology Application; AHLTA; 562
Apteryx Dental Digital Imaging; Apteryx DDI; 2298
Enterprise Clinical Imaging Archive; ECIA; 164
Carl Zeiss FORUM 4 PACS; FORUM 4; 3039
Composite Health Care System; CHCS; 406
Clinical Information System; CIS; 403
Dental Imaging Collaborative Environment; DICE; 3350
DML-ES-Core; "DMLSS"; 454
Enterprise Blood Management System - Transfusion; EBMS-T; 1307
GE Corometrics Fetal Monitors Series V2.x_AI; Corometrics Fetal Monitor Series; 2850
GENESIS; MHS GENESIS; 3002
Healthcare Artifact and Imaging Management Solution; HAIMS; 537
Hologic Dimensions Family Full Field Digital Mammography Systems; Dimensions Family WIN 7; 2623
Nuance Powerscribe 360 v4 Voice Dictation System; PS360V4; 1400
Pharmacy Inpatient Automation Solution; PIAS-E-Pyxis; 1438
Pharmacy Outpatient Automation Solution; POAS-P; 740

Patient Queuing and Notification System; PQNS-Qflow; 970
Pharmacy Refill Request and Appointment Reminder Solution; RxRefill; 610
Rees Scientific Centron Environmental Monitoring System; CEMS; 69
Surgical Scheduling System; S3; 709

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The purpose and intended use of collected PII is specific to a system component and is addressed in the sub-system's PIA.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The opportunity for individuals to object to the collection of PII is specific to the method used to collect PII in the respective system component and is addressed in the sub-system's PIA.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The opportunity for individuals to consent to the specific uses of their PII is specific to the method used by the respective system component and is addressed in the respective sub-system's PIA.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

The MEDCOI infrastructure does not collect PII directly from individuals; however, system components, applications, and electronic collections within the enclave might collect PII; refer to the respective PIA for additional information.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

- | | | |
|--|----------|---|
| <input checked="" type="checkbox"/> Within the DoD Component | Specify. | PII may be shared with personnel with authorized access to the enclave. |
| <input type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | |
| <input type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | |
| <input type="checkbox"/> State and Local Agencies | Specify. | |
| <input type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | |
| <input type="checkbox"/> Other (e.g., commercial providers, colleges). | Specify. | |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|---|
| <input type="checkbox"/> Individuals | <input type="checkbox"/> Databases |
| <input type="checkbox"/> Existing DoD Information Systems | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

Not applicable for the Medical Treatment Facility (MTF) MedCOI; the source of the PII collected is specific to the information system component and is addressed in the sub-system's PIA.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|---|--|
| <input type="checkbox"/> E-mail | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> In-Person Contact | <input type="checkbox"/> Paper |
| <input type="checkbox"/> Fax | <input type="checkbox"/> Telephone Interview |
| <input type="checkbox"/> Information Sharing - System to System | <input type="checkbox"/> Website/E-Form |

Other (If Other, enter the information in the box below)

Not applicable for the Medical Treatment Facility (MTF) MedCOI; the source of the PII collected is specific to the information system component and is addressed in the sub-system's PIA.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

The MTF MedCOI is not a system of records; however, system components, applications, and electronic collections within the enclave might require a SORN. Refer to the specific system component, application, or electronic collection PIA for SORN information.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

Not Applicable

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

The LAN/Enclave itself does not have a NARA approved, pending, or GRS authority and retention instructions applied as a whole. Refer to NARA approved, pending, or GRS authority and retention instruction specific to the systems, applications, electronic collections, file servers, and share drives contained within the LAN/Enclave.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Authorities to collect information are specific to the information system component and addressed in the respective sub-system's PIA.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The MTF MedCOI does not collect information from members of the public; however, system components, applications, or electronic collections within the MTF MedCOI may. Refer to the specific sub-system, application, or electronic collection PIA for information regarding the OMB Control Number.