PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

HealthFlow Connect (HFC)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

06/04/24

Program Executive Office (PEO) Medical Systems (J-6)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

- [ ] From members of the general public
- [ ] From Federal employees
- [x] from both members of the general public and Federal employees
- [ ] Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one.)*

- [x] New DoD Information System
- [ ] New Electronic Collection
- [ ] Existing DoD Information System
- [ ] Existing Electronic Collection
- [ ] Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Application Programming Interfaces (APIs) act as middlemen between various applications and businesses, facilitating the exchange of information, data, and content with internal or external parties using industry standard transmission protocols such as Hypertext Transfer Protocol Secure (HTTPS), Secure File Transfer Protocol (SFTP), Simple Mail Transfer Protocol (SMTP), Java Database Connectivity (JDBC), etc. To monitor an APIs lifecycle and ensure that each API is performing as intended, enterprises and developers utilize API management platform(s) for design, publication, security, monitoring, and analytics.

Health Flow Connect (HFC) is a Global Information Grid (GIG) connected modern API management platform, offering the Defense Health Agency (DHA) a comprehensive and scalable integration solution that satisfies emerging requirements stemming from cloud adaptation and digital transformation. HFC serves as a linchpin for connecting disparate healthcare systems, facilitating seamless data exchange, and promoting interoperability across applications and devices within the DHA enterprise.

The categories of individuals who may have personally identifiable information (PII) and/or Protected Health Information (PHI) disseminated via HFC include applicants to DoD officer accession programs, Active Duty Service Members, Federal civilian employees, and Federal contractors.

PII and/or PHI elements disseminated by HFC include work contact information, personal descriptors (to include Social Security Numbers (SSNs), medical evaluation and history, race/ethnicity, and military status.

HFC is managed by the Technology Support Branch (TSB)/ Solution Delivery Division (SDD)/Program Executive Office (PEO) Medical Systems (J-6)/Defense Health Agency (DHA).

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

HFC disseminates PII and/or PHI for authentication and data matching purposes. HFC utilizes collected PII and/or PHI for access management and for records matching/identification within the Defense Medical Accessions Computing System (DMACS).

e. Do individuals have the opportunity to object to the collection of their PII? [ ] Yes [x] No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals are unable to object to the collection of their PII because HFC does not serve as the initial point of collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals are unable to consent to specific uses of their PII because HFC does not serve as the initial point of collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

☐ Privacy Act Statement ☐ Privacy Advisory ☒ Not Applicable

HFC does not collect PII directly from individuals. Therefore, no Privacy Act Statement / Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

☒ Within the DoD Component	Specify.	Care & Benefits Integrated Systems (CBIS) PMO
☐ Other DoD Components *(i.e. Army, Navy, Air Force)*	Specify.	
☐ Other Federal Agencies *(i.e. Veteran's Affairs, Energy, State)*	Specify.	
☐ State and Local Agencies	Specify.	
☐ Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	
☐ Other *(e.g., commercial providers, colleges)*.	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

☐ Individuals ☐ Databases

☒ Existing DoD Information Systems ☐ Commercial Systems

☐ Other Federal Information Systems

Existing DoD Information Systems: DMACS.

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

☐ E-mail ☐ Official Form (*Enter Form Number(s) in the box below)*

☐ In-Person Contact ☐ Paper

☐ Fax ☐ Telephone Interview

☒ Information Sharing - System to System ☐ Website/E-Form

☐ Other *(If Other, enter the information in the box below)*

Information Sharing – System to System: DMACS

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☐ Yes ☒ No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. GRS 5.2, item 010 (DAA-GRS-2022-0009-0001)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 103-13
DISPOSITION: Temporary. Cut off and destroy when no longer needed for business use.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

DoD Instruction 8510.01, Risk Management Framework for DoD Systems; DoD Instruction 8580.02, Security of Individually Identifiable Health Information in DoD Health Care Programs; DoD Instruction 8500.01, Cybersecurity; DoD Instruction 5400.11, DoD Privacy and Civil Liberties Programs; DoD Manual 6025.18, Implementation of the HIPAA Privacy Rule in DoD Health Care Programs; E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

HFC does not require an OMB Control Number as the system is not the initial point of collection of the information.