

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

DoD Cancer Registry

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

05/20/24

Program Executive Office (PEO) Medical Systems (J-6)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- | | |
|---|--|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees |
| <input checked="" type="checkbox"/> from both members of the general public and Federal employees | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one.)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The DoD Cancer Registry Program (DoD CR) is a Business Acquisition Category (BCAT) program that serves military treatment facilities and the American College of Surgeons (ACoS) Commission on Cancer (CoC) accredited DoD cancer centers around the world. This registry ensures that the MHS enterprise is compliant with federal and state regulations in direct support of tracking beneficiaries' tumor or cancer diagnosis across their lifetime. The purpose is designed to use a Commercial Off the Shelf (COTS) registry application for the collection and reporting of various cancers that are diagnosed and/or treated within the Military Healthcare System (MHS). Cancer is a federally mandated reportable disease and patient and tumor level data is required to be transmitted to the state and National Cancer Data Base. DoD CR is a DPC (DHA Private Cloud) hosted system that is accessible via Application Virtualization Hosting Environment (AVHE) and supports remote abstracting, improves reporting for accreditation and research activities while leveraging distributed workload through regionalized market management.

The database serves as a repository for cancer data on active duty military service members, retired military members, prior military members, individuals in the national guard, and dependents. DoD Cancer Registry collects the following Personally Identifiable Information (PII): patient information, patient demographic information, Social Security Number (SSN), child/minor information, and Protected Health Information (PHI).

DoD Cancer Registry is mandated by DoD Directive 5136.01, DoD Directive 5136.13, DHA-PI 5025.01, and is managed by DHA, Health Services Support (HSS).

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PII is collected per the requirements of federal (Public Law 102-515) and state laws that mandate the collection of data related to identifying cancer trends, patterns, and variations for directing cancer control intervention.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals do not have the opportunity to object to the collection of their PII /PHI because this system is not the initial point of collection; however, the source system may provide the individual the opportunity to object to the collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals do not have the opportunity to object to the collection of their PII /PHI because this system is not the initial point of collection; however, the source system may provide the individual the opportunity to object to the collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

This system is not the initial collection point for the PII. The PII is obtained from an existing DoD information system or electronic collection, therefore no Privacy Act Statement or Privacy Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component

Specify. The Defense Health Agency, Joint Pathology Center, Research Program Management Office

Other DoD Components (i.e. Army, Navy, Air Force)

Specify.

Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)

Specify.

State and Local Agencies

Specify.

Eight states (Department of Health & Social Services-Alaska, Department of State Health Services-Texas, Tumor Registry-Hawaii, Department of Health-Virginia, Tumor Registry-Louisiana, Department of Health & Human Services-North Carolina, Department of Health-Ohio and State Department of Health-Oklahoma) have a Cancer Registry system which DoDCR shares data as mandated by the Cancer Registries Amendment Act (Public Law 102-515). Memorandum of Agreements (MOAs) with States are in Final Review/Approval by the DHA Privacy Office.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

The vendor, Onco, will have access to PHI/PII data during the data migration effort as included in their contract. Contract language that protects PII states, " DHA Procedure, Guidance, and Information (PGI) 224 Protection of Privacy and Freedom of Information the contract shall comply with the DHA requirements document entitled 'Personally Identifiable Information (PII), Protected Health Information (PHI) and Federal Information Requirements (Revised May 23, 2017)' which is incorporated by reference in its entirety into this contract." DHA PGI 224 requires the contractor to comply with FAR part 24 and CFR parts 160 and 164. A Data Sharing Agreement (DSA) application was submitted to the DHA Privacy and Civil Liberties Office for review and approval on 1/7/2020. DSA renewal was signed and approved on 09/29/2020.

Other (e.g., commercial providers, colleges).

Specify.

National Cancer Data Base (NCDB) is a joint program of the American College of Surgeons (ACoS) (no PHI) Commission on Cancer (CoC) and the American Cancer Society.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

Existing DoD Information Systems

Commercial Systems

Other Federal Information Systems

MHS Electronic Health Records (EHRs): MHS GENESIS

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail

Official Form (Enter Form Number(s) in the box below)

In-Person Contact

Paper

- Fax Telephone Interview
 Information Sharing - System to System Website/E-Form
 Other (If Other, enter the information in the box below)

MHS Electronic Health Records (EHRs): MHS GENESIS

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Unscheduled - Permanent. Treat system and/or records maintained in the system as permanent until a NARA approved schedule and disposition authority has been applied.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C., Chapter 55; Pub.L. 104-91, Health Insurance Portability and Accountability Act of 1996; DoDM 6025.18, DoD Health Information Privacy Regulation; EO 9937; P.L. 102-515.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

- Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected within this system does not collect PHI/PII directly from individuals of the general public; however, the system components, applications, or electronic collections within, in accordance with DoDM 8910.01, Volume 2, Enclosure 3, paragraph 8b(5).