## **PRIVACY IMPACT ASSESSMENT (PIA)**

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:					
DHA-Enterprise SPLUNK					
2. DOD COMPONENT NAME:	3. PIA APPROVAL DATE:				
Defense Health Agency	05/20/24				
DHA-Enterprise SPLUNK (DHA-ES)					
SECTION 1: PII DESCRIPTION SUMM	ARY (FOR PUBLIC RELEASE)				
a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)					
From members of the general public	From Federal employees				
x from both members of the general public and Federal employees	Not Collected (if checked proceed to Section 4)				
b. The PII is in a: (Check one.)					
New DoD Information System	New Electronic Collection				
Existing DoD Information System	Existing Electronic Collection				
Significantly Modified DoD Information System					
<ul> <li>c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.</li> <li>DHA-Enterprise SPLUNK (DHA-ES) is a type accreditation for an Enterprise Security Information and Event Management (SIEM) system that provides for log collection, aggregation, and correlation for Medical Community of Interest (Med-COI) connected systems in the Defense Health Agency (DHA). This includes desktops, servers, network devices, and applications across various Operating Systems and enables system owners to meet Risk Management Framework (RMF) and Security Technical Implementation Guide (STIG) requirements for auditing. Additionally DHA Enterprise Splunk provides for performance monitoring for key metrics associated with application, network, and system health for various application deployed throughout the Med-COI environment.</li> <li>PII processed on some system components, applications, and documents might utilize specific administrative, physical, and technical security controls to protect PII confidentiality and which may be addressed in separate PIAs. Such PIAs are available at: https://dodcio.defense.gov/In-the-News/Privacy-Impact-Assessments/aspx/.</li> <li>The Enterprise Splunk System is owned and operated by Infrastructure and Operations Division within DHA.</li> <li>d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)</li> </ul>					
SPLUNK is an Enterprise Logging Service for the DHA medical community users, and as such they may unintentionally store PII on the SPLUNK storage platform in support of their clinical and business workflows at DHA Medical facilities. The Splunk system does not have any intended use of any PII that may unintentionally be collected.					
e. Do individuals have the opportunity to object to the collection of their PII?					
(1) If "Yes," describe the method by which individuals can object to the collection of PII.					
(2) If "No," state the reason why individuals cannot object to the collection of PII.					
Individuals do not have the opportunity to object to the collection of there PII because DHA-Enterprise SPLUNK is not the initial point of collection.					
f. Do individuals have the opportunity to consent to the specific uses of their	PII? Yes X No				
(1) If "Yes," describe the method by which individuals can give or withhold their consent.					
(2) If "No," state the reason why individuals cannot give or withhold their consent.					
Individuals do not have the opportunity to consent to the specific use of there PII because DHA-Enterprise SPLUNK is not the initial point of collection.					

•	Vhen an individual is asked to p provide the actual wording.)	rovide PII, a Privacy Act Statemen	t (PAS) and/or	a Privacy Advisory must be provided. (Check as appropriate and
	Privacy Act Statement	Privacy Advisory	X	Not Applicable
DH	A-Enterprise SPLUNK is not	the initial point of collection.		
	Vith whom will the PII be shared Check all that apply)	through data/system exchange, b	ooth within you	ur DoD Component and outside your Component?
X	Within the DoD Component		Specify.	DHA Military Treatment Facilities (MTF)
X	Other DoD Components (i.e. Ar	my, Navy, Air Force)	Specify.	Navy, Army, Air Force
	Other Federal Agencies (i.e. Ve	teran's Affairs, Energy, State)	Specify.	
	State and Local Agencies		Specify.	
X	Contractor (Name of contractor the contract that safeguards PII: clauses, i.e., 52.224-1, Privacy Privacy Act, and FAR 39.105 ar	Include whether FAR privacy Act Notification, 52.224-2,	Specify.	Various contractors supporting DHA provide system administration for SPLUNK and adhere to the following safeguards for PII as required by their respective contracts: When a contractor, including any subcontractor, is authorized access to Personally Identifiable Information (PII), the contractor shall complete annual PII training requirements and comply with all privacy protections under the Privacy Act (Clause 52.224-1 and 52.224-2). The contractor shall safeguard PHI/PII from theft, loss, and compromise, and shall safeguard, transmit and dispose of PHI/PII in accordance with the latest DHA and DoD policies and the contractor's HIPAA Business Associate Agreement (BAA). The contractor shall mark all developed documentation containing PII information accordingly in either the header or footer of the document: "FOUO – Privacy Sensitive. Any misuse or unauthorized disclosure may result in both criminal and civil penalties." Any unauthorized disclosure of privacy sensitive information through negligence or misconduct can lead to task order removal or task order termination depending on the severity of the disclosure. Upon discovery of a PII breach, the contractor shall immediately notify the Contracting Officer and contracting officer representative (COR). This list of contractors includes:  Accelera Solutions, Core4ce,KSH, NSSPlus, BEAT, DXC
	Other (e.g., commercial provide	rs, colleges).	Specify.	
i. S	·	eck all that apply and list all informat	_	applicable)
	Individuals		_	atabases
X	Existing DoD Information System		∐ с	ommercial Systems
X	Other Federal Information Syste			
All Protected Health Information (PHI) is collected from existing DHA information systems. Any Personally Identifiable Information (PII) that is collected would be the responsibility of the Program of Record (POR) that allowed the collection of the data. The SPLUNK system only collects data logs that the POR and DHA have deemed necessary. This data is collected from all sites within DHA and POR's.				
j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)				
	E-mail		_ o	fficial Form (Enter Form Number(s) in the box below)
	In-Person Contact		Pa	aper
	Fax		Te	elephone Interview
	Information Sharing - System to	System	□ w	/ebsite/E-Form
×	Other (If Other, enter the information	ation in the box below)	_ <del>_</del>	

SPLUNK is a system that collects computer logs. Within the logs there maybe PII/PHI. This information is not collected intentionally but would be part of a POR's logs that are stored on the SPLUNK system.				
k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?				
A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.  Yes X No				
If "Yes," enter SORN System Identifier				
SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/ Privacy/SORNs/ or				
If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date				
If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.				
I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?  (1) NARA Job Number or General Records Schedule Authority.  GRS 3.1, item 020 (DAA-GRS-2013-0005-0004)				
(2) If pending, provide the date the SF-115 was submitted to NARA.				
(3) Retention Instructions.				
FILE NUMBER: 1606-02 DISPOSITION: Temporary. Cut off after the project/activity/transaction is completed or superseded. Destroy 5 years after cutoff.				
m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.				
<ol> <li>If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.</li> <li>If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).</li> </ol>				
(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.				
(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.				
(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.				
10 U.S.C. Chapter 55, Medical and Dental Care; 32 CFR Part 199, Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); DoD 6025.18-R Health Information Privacy Regulation; DoDI 8580.02 Security of Individually Identifiable Health Information in DoD Health Care Programs; E.O. 9397 (SSN), as amended.				
n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control				
Number?				
Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.				
Yes X No Pending				
<ul> <li>(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.</li> <li>(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."</li> <li>(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.</li> </ul>				
SPLUNK as a system does not actively collect or disseminate PHI/PII information for use outside of the internal DHA organization.				

This system only receives PII from a system-to-system interface and the opportunity to object is only available at the source system, which				
would be covered under a separate PIA for that source system.				