

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Vital Image Enterprise Single Server (Vitrea ESS)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

05/03/24

CyberLOG

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- From members of the general public From Federal employees
 from both members of the general public and Federal employees Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

- New DoD Information System New Electronic Collection
 Existing DoD Information System Existing Electronic Collection
 Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

CyberLOG is responsible for the risk management framework (RMF) process, and gaining an approval from DHA J6 Risk Management Executive Division (RMED). Local sites are responsible for day to day operations, maintenance, and management of the device. Sites are responsible for ensuring the device is configured to meet CyberLOG and RMED approved configurations.

The Vital Image Enterprise Single Server (Vitrea ESS) is a multi-dimensional (2D and 3D) digital images post processing server or workstation that receives acquired images from Canon medical Imaging devices. This product provides multi-dimensional visualization of digital images to aid medical professionals in their analysis of anatomy and pathology. The Vitrea ESS system user interface follows typical clinical workflow patterns and process, review, and analyze digital images.

Vitrea ESS complies with DICOM V3.0 and uses DICOM services for the import of ePHI, study information, and the export of DICOM data, including images and reports, to the desired locations at the facility. All ePHI is stored locally but is not designed for archival purposes. All ePHI data should be removed as desired at the discretion of the MTF.

Department of Defense (DoD) Personnel working within the Medical Treatment Facility (MTF) may have general user capabilities to use the device in a clinical environment. They may also have administrative access as deemed by the vendor.

The Personal Identifiable Information (PII) collected includes personal information and demographic information including Protected Health Information (PHI). The categories of people in which PII is collected from includes: All Active Duty Service Members, Retirees, Veterans, and their families. In addition, PII is collected from both members of the general public and Federal employees and/or contractors.

This device is owned and operated by DHA's CyberLOG.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Collection does not occur on the system, all necessary data to implement the system originates on and is provided by an Accredited PACS, Canon Medical Images Making Device or Accredited Hospital System of Record. Provision of patient care.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals do not have the opportunity to object to the collection of their PII /PHI because this system is not the initial point of collection;

however, the source system may provide the individual the opportunity to object to the collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals do not have the opportunity to object to the collection of their PII /PHI because this system is not the initial point of collection; however, the source system may provide the individual the opportunity to object to the collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

This system is not the initial collection point for the PII. The PII is obtained from an existing DoD information system or electronic collection, therefore no Privacy Act Statement or Privacy Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?

(Check all that apply)

Within the DoD Component

Specify.

PII will be retained within each MTF environment, and will only be accessed by authorized military service branch pharmacy staff which will have access to PII as part of their duties. Vendor authorized personnel will have access to the system to perform support and maintenance. Information is shared with authorized DoD health care providers and managers within the service branch. PII information will also be shared with MTF physicians, pharmacists, and nurses. In addition the information may be shared with billing and financial groups within the service branch. Data exchanges between Accredited PACS from the DICOM Canon Medical Imaging making devices.

Other DoD Components (i.e. Army, Navy, Air Force)

Specify.

PII information may also be shared with other Services physicians, pharmacists, and nurses where applicable. In addition the information may be shared with billing and financial groups within those Services. PII information sharing between other DoD components is the responsibility of the MTF, not Vital Images.

Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)

Specify.

PII information may also be shared with Department of Homeland Security, US Coast Guard physicians, pharmacists, and nurses, where applicable. In addition the information may be shared with billing and financial groups within the Department of Homeland Security, US Coast Guard. PII information sharing between other Federal Agencies is the responsibility of the MTF, not Vital Images.

State and Local Agencies

Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

The MTFs may utilize contractor services to support this product. DoD policy requires such contracts include language to safeguard PII including FAR clauses: 52.224-1, Privacy Act Notification; 52.224-2, Privacy Act; and FAR 39.105, Privacy. When the contractor has access to PHI, a HIPAA Business Associate Agreement is also required.

Other (e.g., commercial providers, colleges).

Specify.

Medical Professionals upon referral.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

Existing DoD Information Systems

Commercial Systems

Other Federal Information Systems

PACS, HIS, RIS, and other existing accredited Hospital Information System or Health Record system within the DoD.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|---|--|
| <input type="checkbox"/> E-mail | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> In-Person Contact | <input type="checkbox"/> Paper |
| <input type="checkbox"/> Fax | <input type="checkbox"/> Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | <input type="checkbox"/> Website/E-Form |
| <input type="checkbox"/> Other (If Other, enter the information in the box below) | |

For Radiology/Cardiology PACS system (along with any other system that communicates with CHCS or AHLTA): "The source for PII will be collected from an existing accredited Hospital Information system or Health Record system within the DoD. The patient demographics data is collected and feeds the information to the accredited Picture Archiving Communication System (PACS)."

OR

For Modalities, the source for the PII will be collected from an existing accredited Hospital Information system or Health Record system within the DoD. The patient demographics data is collected and feeds the information to an accredited Picture Archiving Communication System (PACS) system. The PACS will query the Digital Communication in Medicine (DICOM) modality worklist for the patient data from the accredited PACS.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

This system does not collect and maintain records about an individual where the records is retrieved by the individual's name, number or unique identifier IAW the Privacy Act of 1974, as amended. (Chapter 55 of Title 10 USC, §1071 - 1106.)

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 103-14

DISPOSITION: Temporary. Delete no more than 7 years from the date last modified. (See DoD DTM 22-001 on default disposition policies and OSD Records Manager guidance which file number to associate).

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected in this system is for the diagnosis and treatment of medical disorders and is not considered a public information collection in accordance with DoDM 8910.01, V2, Encl 3, paragraph 8b(5).