

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Theater Medical Information Program - Joint Theater Medical Data Store (TMIP-J TMDS)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

01/12/24

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- From members of the general public From Federal employees
 from both members of the general public and Federal employees Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

- New DoD Information System New Electronic Collection
 Existing DoD Information System Existing Electronic Collection
 Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Theater Medical Information Program - Joint Theater Medical Data Store (TMIP-J TMDS) is a Business System that is a web-based application that serves as the authoritative theater database for collecting, distributing, and viewing Service members' pertinent medical information. It provides one central location for health care providers to view theater medical data supporting the Defense Healthcare Management Systems (DHMS) mission to seamlessly capture, manage, and share health care data for electronic health records (EHR). The medical information within TMDS comes primarily from TMIP-J feeder systems such as AHLTA-Theater (AHLTA-T) and Composite Health Care System Cache (TC2).

TMIP-J TMDS also has a graphical user interface (GUI) which allows the user to enter patient demographics directly into the system. TMIP-J TMDS provides viewing and tracking of patients as they move through the continuum of care while in theater. It contains standard patient demographics on all military members (active, guard, and reserve), foreign nationals, detainees, and contractors who receive or have received medical or dental care at one or more Department of Defense (DoD) military treatment facilities (MTF)s in theater. TMIP-J TMDS collects personally identifiable information (PII) and protected health information (PHI), such as, contact information, demographic information, employment information, military records, disability information, medical information.

Lastly, TMIP-J TMDS serves as a host for the Theater Blood program. Theater Blood provides visibility and a traceable record of transient patients' blood transfusions, blood inventory, and blood donations according to the theater facility and location.

TMIP-J TMDS is owned and operated by the Defense Health Agency (DHA).

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

PII/PHI is collected to ensure that historical records for patient treatment are available, match, and are accurate. The intended use of PII/PHI is for patient identification and verification ensuring that medical treatments are consolidated in support of the longitudinal health record.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

TMIP-J TMDS does not collect PII/PHI directly from individuals; therefore, individuals do not have the opportunity to object to the collection of their PII/PHI as part of this system.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

TMIP-J TMDS does not collect PII/PHI directly from individuals; therefore, individuals do not have the opportunity to consent to the specific uses of their PII/PHI as part of this system.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

TMIP-J TMDS does not serve as initial point of collection. Therefore, no Privacy Act Statement or Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component

Specify. DHA Military Health Systems

Other DoD Components (i.e. Army, Navy, Air Force)

Specify. Department of the Army,
Department of the Navy,
Department of the Air Force

Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)

Specify. Veteran's Affairs (VA)

State and Local Agencies

Specify.

Various contractors develop, operate and maintain the system for the Government.
The following contractors have access to the information:

American Systems Corporation
Booz Allen Hamilton
Deloitte
SeKON

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify. All must maintain the appropriate background investigations based on their specific roles and responsibilities. The contract requires the developer, DNC, to "establish appropriate administrative, technical, and physical safeguards to protect any and all Government data, to ensure the confidentiality, integrity, and availability of government data. At a minimum, this shall include provisions for personnel security, electronic security and physical security."

Other (e.g., commercial providers, colleges).

Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

Existing DoD Information Systems

Commercial Systems

Other Federal Information Systems

AHLTA-Theater (AHLTA-T), Composite Health Care System Cache (TC2), Mobile Computing Capability (MCC) and Shipboard Non-Tactical Automated Data Processing Program Automated Medical System (SAMS 8)

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail

Official Form (Enter Form Number(s) in the box below)

In-Person Contact

Paper

Fax

Telephone Interview

Information Sharing - System to System

Website/E-Form

Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 911-27
DISPOSITION: Temporary. Cut off annually. Destroy patient related medical records transferred to the Department of Veteran Affairs annually when 50 years old.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C. 131, Office of the Secretary of Defense; 10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C. Chapter 55, Medical and Dental Care; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

Although TMIP-J TMDS collects data from the general public, in accordance with DoD Manual 891001, Volume 2, 8(b)5, the system is

exempt from OMB approval.