

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Department of Defense Suicide Event Report (DoDSER 2.0)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

12/02/22

Information Operations (IO) (J-6)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- | | | |
|---|-------------------------------------|---|
| From members of the general public | <input checked="" type="checkbox"/> | From Federal employees |
| from both members of the general public and Federal employees | | Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one.)

- | | | |
|---|--|--------------------------------|
| New DoD Information System | | New Electronic Collection |
| Existing DoD Information System | | Existing Electronic Collection |
| <input checked="" type="checkbox"/> Significantly Modified DoD Information System | | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Department of Defense Suicide Event Report System (DoDSER 2.0) is a surveillance system designed to gather standardized risk and protective factor information for suicide events across multiple domains. The DoDSER 2.0 standardizes data collected on suicide events and allows for detailed statistical reports on suicide events that can be aggregated across the Services.

DoDSER 2.0 is a web-based application locally developed by the Defense Health Agency (DHA). It is a web-based form accessed through a secure DoD website.

The categories of individuals about whom personally identifiable information (PII) and protected health information (PHI) are collected include federal employees such as Active Duty and Reserve Service Members serving in the Air Force, Army, Navy, Marines, and/or Space Force and National Guard Component Personnel.

The following types of personal information will be collected in DoDSER 2.0: personal identifiers, demographic information, employment information, military records, personal contact information, such as home address and home phone number, education information, and law enforcement information, medical information and PHI.

DoDSER 2.0 is owned by Performance and Analytics, Psychological Health Center of Excellence (PHCoE) Research and Development Directorate (J-9). DoDSER 2.0 is managed by Web and Mobile Technology (WMT) Project Management Office (PMO) within DHA Information Operations (IO) (J-6).

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PII is collected to acquire information about Service member suicides and suicide attempts within the Armed Services. The intended use of the PII, and PHI, is for mission-related health surveillance and use, statistical analysis, verification, identification, authentication, data matching, and administrative use.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

At the beginning of the interview, the individual is verbally presented with an opportunity to object to the collection of PII. The individual's response – either agreeing or declining - is captured electronically in a form submitted to DoDSER 2.0. Participation in the interview is voluntary and the service member has the right to agree or decline the interview without penalty.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The acknowledgment page describes the purpose, routine uses, and disclosure of the individual's PII.

At the beginning of the interview, individuals are verbally presented with the opportunity to consent to specific uses of PII and the individual's response is captured electronically in a form submitted to DoDSER 2.0. The provision of information is voluntary, there is no penalty for declining the interview.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

AUTHORITY: 10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C. Chapter 55, Medical and Dental Care; 29 CFR Part 1960, Basic Program Elements for Federal Employee Occupational Safety and Health Programs and Related Matters; DoDD 6490.02E, Comprehensive Health Surveillance; DoDD 6490.14, Defense Suicide Prevention Program; and E.O. 9397 (SSN), as amended.

PURPOSE: The Department of Defense Suicide Event Report System (DoDSER 2.0) is a surveillance system designed to gather standardized risk and protective factor information for suicide events across multiple domains. The DoDSER 2.0 standardizes data collected on suicide events and allows for detailed statistical reports on suicide events that can be aggregated across the Services.

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ROUTINE USES: Use and disclosure of your records outside of DoD may occur in accordance with the Privacy Act of 1974, as amended (5 U.S.C. 552a(b)). Collected information may also be shared with the Departments of Health and Human Services, Homeland Security, and Veterans Affairs, and other Federal, State, local, or foreign government agencies, authorized private business entities, including entities under contract with the Department of Defense and individual providers of care, on matters relating to eligibility, claims pricing and payment, fraud, program abuse, utilization review, quality assurance, peer review, program integrity, third-party liability, coordination of benefits, and civil or criminal litigation.

Any protected health information (PHI) in your records may be used and disclosed generally as permitted by the HIPAA Privacy Rule (45 CFR Parts 160 and 164), as implemented within DoD. Permitted uses and disclosures of PHI include, but are not limited to, treatment, payment, healthcare operations, and the containment of certain communicable diseases.

For a full listing of the applicable Routine Uses, refer to the applicable SORN.

APPLICABLE SORN: DoDSER 2.0 will collect personally identifiable information (PII) from individuals to be stored in a system of records and retrieved by a personal identifier. Therefore, a SORN is necessary. The appropriate SORN is EDHA 20 DoD, Department of Defense Suicide Event Report (DoDSER 2.0) System (April 15, 2016, 81 FR 22240).

SORN can be found at:

<https://dpcl.dod.mil/Privacy/SORNsIndex/DOD-wide-SORN-Article-View/Article/570683/edha-20-dod/>

DISCLOSURE: Voluntary. If you choose not to provide your information, no penalty may be imposed, but absence of the requested information may result in the inability to promote the health of the Armed Forces.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?

(Check all that apply)

<input checked="" type="checkbox"/> Within the DoD Component	Specify.	Defense Centers of Excellence (DCoE), DHA Research and Development (J-9)
<input checked="" type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force)	Specify.	Departments of the Army, Navy, Air Force, Space Force, Armed Forces Medical Examiner, and Defense Manpower Data Center
<input checked="" type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)	Specify.	Departments of Health and Human Services (HHS), Homeland Security (DHS), and Veterans Affairs (VA)
State and Local Agencies	Specify.	

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|--------------------|
| <input checked="" type="checkbox"/> Individuals | Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

Defense Manpower Data Center (DMDC) Defense Enrollment Eligibility Reporting System (DEERS) Identity web service.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|--|---|
| <input checked="" type="checkbox"/> E-mail | <input checked="" type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input checked="" type="checkbox"/> In-Person Contact | Paper |
| <input type="checkbox"/> Fax | <input checked="" type="checkbox"/> Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | <input checked="" type="checkbox"/> Website/E-Form |
| Other (If Other, enter the information in the box below) | |

Information will be collected through a secure website. The URL is <https://dodser.health.mil/>. DEERS and from Defense Manpower Data Center (DMDC) and Form DD2996.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier EDHA 20 DoD

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. DDAA-0330-2013-0006 – 0001 DAA-0330-2013-0006-0002

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 1805-25: Temporary. Cut off annually upon separation or retirement of service member. Destroy 75 years after cutoff.

FILE NUMBER: 1805-26: Permanent. Cut off annually after report is published. Transfer to NARA 3 years after cutoff.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

- (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
- (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
- (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C. Chapter 55, Medical and Dental Care; 29 CFR Part 1960, DoDD 6490.02E, Comprehensive Health Surveillance DoDD 6490.14, Defense Suicide Prevention Program, Basic Program Elements for Federal Employee Occupational Safety and Health Programs and Related Matters; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB Control Number: 0720-0058 Department of Defense Suicide Event Report (DoDSER 2.0). Expires 10/31/2022.